

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
7 November 2002 (07.11.2002)

PCT

(10) International Publication Number  
WO 02/087418 A2(51) International Patent Classification<sup>7</sup>: A61B

(21) International Application Number: PCT/US02/13567

(22) International Filing Date: 30 April 2002 (30.04.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/847,523 2 May 2001 (02.05.2001) US(71) Applicant: GLAUKOS CORPORATION [US/US];  
26061 Merit Circle, Suite 101, Laguna Hills, CA 92653 (US).

(72) Inventors: GHARIB, Morteza; 556 South Berkeley Avenue, San Marino, CA 91108 (US). TU, Hosbeng; 15 Riez, Newport Coast, CA 92657 (US). BERGHEIM, Olav; 27722 Deputy Circle, Laguna Hills, CA 92653 (US).

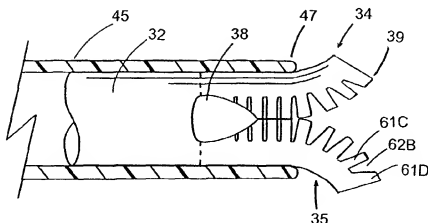
(74) Agent: ALTMAN, Daniel, E.; KNOBBE, MARTENS, OLSON &amp; BEAR, LLP, 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), DE, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SI, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).**Published:**

— without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: BIFURCATEABLE TRABECULAR SHUNT FOR GLAUCOMA TREATMENT



(57) Abstract: A trabecular shunt and methods for treating glaucoma are disclosed. The method comprises placing a trabecular shunt through diseased trabecular meshwork, between the anterior chamber and Schlemm's canal. The trabecular shunt includes a hollow, elongated tubular element having an inlet section and an outlet section. The outlet section includes two bifurcateable elements adapted to be positioned and stabilized inside Schlemm's canal.

WO 02/087418 A2

**BIFURCATABLE TRABECULAR SHUNT FOR GLAUCOMA TREATMENT****Background of the Invention**

[0001] The present invention generally relates to medical devices and methods for reducing  
5 intraocular pressure in the animal eye. More particularly, the present invention relates to the treatment of  
glaucoma by permitting aqueous humor to flow out of the anterior chamber through a surgically implanted  
pathway.

[0002] The human eye is a specialized sensory organ capable of light reception and able to  
receive visual images. The trabecular meshwork serves as a drainage channel and is located in anterior  
10 chamber angle formed between the iris and the cornea. The trabecular meshwork maintains a balanced  
pressure in the anterior chamber of the eye by draining aqueous humor from the anterior chamber.

[0003] About two percent of people in the United States have glaucoma. Glaucoma is a group  
of eye diseases encompassing a broad spectrum of clinical presentations, etiologies, and treatment  
modalities. Glaucoma causes pathological changes in the optic nerve, visible on the optic disk, and it causes  
15 corresponding visual field loss, resulting in blindness if untreated. Lowering intraocular pressure is the major  
treatment goal in all glaucomas.

[0004] In glaucomas associated with an elevation in eye pressure (intraocular hypertension),  
the source of resistance to outflow is mainly in the trabecular meshwork. The tissue of the trabecular  
meshwork allows the aqueous humor ("aqueous") to enter Schlemm's canal, which then empties into aqueous  
20 collector channels in the posterior wall of Schlemm's canal and then into aqueous veins, which form the  
episcleral venous system. Aqueous humor is a transparent liquid that fills the region between the cornea, at  
the front of the eye, and the lens. The aqueous humor is continuously secreted by the ciliary body around the  
lens, so there is a constant flow of aqueous humor from the ciliary body to the eye's front chamber. The eye's  
pressure is determined by a balance between the production of aqueous and its exit through the trabecular  
25 meshwork (major route) or uveal scleral outflow (minor route). The trabecular meshwork is located between  
the outer rim of the iris and the back of the cornea, in the anterior chamber angle. The portion of the  
trabecular meshwork adjacent to Schlemm's canal (the juxtacanalicular meshwork) causes most of the  
resistance to aqueous outflow.

[0005] Glaucoma is grossly classified into two categories: closed-angle glaucoma, also known  
30 as angle closure glaucoma, and open-angle glaucoma. Closed-angle glaucoma is caused by closure of the  
anterior chamber angle by contact between the iris and the inner surface of the trabecular meshwork.  
Closure of this anatomical angle prevents normal drainage of aqueous humor from the anterior chamber of  
the eye. Open-angle glaucoma is any glaucoma in which the angle of the anterior chamber remains open, but  
the exit of aqueous through the trabecular meshwork is diminished. The exact cause for diminished filtration  
35 is unknown for most cases of open-angle glaucoma. Primary open-angle glaucoma is the most common of

the glaucomas, and it is often asymptomatic in the early to moderately advanced stage. Patients may suffer substantial, irreversible vision loss prior to diagnosis and treatment. However, there are secondary open-angle glaucomas which may include edema or swelling of the trabecular spaces (e.g., from corticosteroid use), abnormal pigment dispersion, or diseases such as hyperthyroidism that produce vascular congestion.

- 5        [0006] All current therapies for glaucoma are directed at decreasing intraocular pressure. Medical therapy includes topical ophthalmic drops or oral medications that reduce the production or increase the outflow of aqueous. However, these drug therapies for glaucoma are sometimes associated with significant side effects, such as headache, blurred vision, allergic reactions, death from cardiopulmonary complications, and potential interactions with other drugs. When drug therapy fails, surgical therapy is used.
- 10      Surgical therapy for open-angle glaucoma consists of laser trabeculoplasty, trabeculectomy, and implantation of aqueous shunts after failure of trabeculectomy or if trabeculectomy is unlikely to succeed. Trabeculectomy is a major surgery that is widely used and is augmented with topically applied anticancer drugs, such as 5-fluorouracil or mitomycin-C to decrease scarring and increase the likelihood of surgical success.

- [0007] Approximately 100,000 trabeculectomies are performed on Medicare-age patients per year in the United States. This number would likely increase if the morbidity associated with trabeculectomy could be decreased. The current morbidity associated with trabeculectomy consists of failure (10-15%); infection (a life long risk of 2-5%); choroidal hemorrhage, a severe internal hemorrhage from low intraocular pressure, resulting in visual loss (1%); cataract formation; and hypotony maculopathy (potentially reversible visual loss from low intraocular pressure).
- 15      year in the United States. This number would likely increase if the morbidity associated with trabeculectomy could be decreased. The current morbidity associated with trabeculectomy consists of failure (10-15%); infection (a life long risk of 2-5%); choroidal hemorrhage, a severe internal hemorrhage from low intraocular pressure, resulting in visual loss (1%); cataract formation; and hypotony maculopathy (potentially reversible visual loss from low intraocular pressure).

- 20      [0008] For these reasons, surgeons have tried for decades to develop a workable surgery for the trabecular meshwork.

- [0009] The surgical techniques that have been tried and practiced are goniotomy/trabeculectomy and other mechanical disruptions of the trabecular meshwork, such as trabeculopuncture, goniphotocoablation, laser trabecular ablation, and gonioscurettage. These are all major operations and are briefly described below.
- 25      operations and are briefly described below.

- [0010] *Goniotomy/Trabeculectomy:* Goniotomy and trabeculectomy are simple and directed techniques of microsurgical dissection with mechanical disruption of the trabecular meshwork. These initially had early favorable responses in the treatment of open-angle glaucoma. However, long-term review of surgical results showed only limited success in adults. In retrospect, these procedures probably failed due to cellular repair and fibrosis mechanisms and a process of "filling in." Filling in is a detrimental effect of collapsing and closing in of the created opening in the trabecular meshwork. Once the created openings close, the pressure builds back up and the surgery fails.
- 30      cellular repair and fibrosis mechanisms and a process of "filling in." Filling in is a detrimental effect of collapsing and closing in of the created opening in the trabecular meshwork. Once the created openings close, the pressure builds back up and the surgery fails.

      [0011] *Trabeculopuncture:* Q-switched Neodymium (Nd) YAG lasers also have been investigated as an optically invasive technique for creating full-thickness holes in trabecular meshwork.

However, the relatively small hole created by this trabeculopuncture technique exhibits a filling-in effect and fails.

5       [0012]       *Goniophotocoagulation/Laser Trabecular Ablation:* Goniophotocoagulation is disclosed by Berlin in U.S. Pat. No. 4,846,172 and involves the use of an excimer laser to treat glaucoma by ablating the trabecular meshwork. Additionally, an Erbium:YAG laser was used to create full-thickness holes through trabecular meshwork in a primate model and a limited human clinical trial at the University of California, Irvine. (Hill et al., *Lasers in Surgery and Medicine* 11:341-346, 1991). Although morbidity was zero in both trials, success rates did not warrant further human trials. Failure was again from filling in of surgically created defects in the trabecular meshwork by repair mechanisms.

10       [0013]       *Goniocurettage:* This is an ab interno (from the inside), mechanically disruptive technique that uses an instrument similar to a cyclodialysis spatula with a microcurette at the tip. Initial results were similar to trabeculotomy: it failed due to repair mechanisms and a process of filling in.

15       [0014]       Although trabeculectomy is the most commonly performed filtering surgery, viscocanulostomy (VC) and non-penetrating trabeculectomy (NPT) are two new variations of filtering surgery. These are ab externo (from the outside), major ocular procedures in which Schlemm's canal is surgically exposed by making a large and very deep scleral flap. In the VC procedure, Schlemm's canal is cannulated and viscoelastic substance injected (which dilates Schlemm's canal and the aqueous collector channels). In the NPT procedure, the inner wall of Schlemm's canal is stripped off after surgically exposing the canal.

20       [0015]       Trabeculectomy, VC, and NPT involve the formation of an opening or hole under the conjunctiva and scleral flap into the anterior chamber, such that aqueous humor is drained onto the surface of the eye or into the tissues located within the lateral wall of the eye. These surgical operations are major procedures with significant ocular morbidity. When trabeculectomy, VC, and NPT are thought to have a low chance for success, a number of implantable drainage devices have been used to ensure that the desired filtration and outflow of aqueous humor through the surgical opening will continue. The risk of placing a glaucoma drainage device also includes hemorrhage, infection, and diplopia (double vision).

25       [0016]       Examples of implantable shunts and surgical methods for maintaining an opening for the release of aqueous humor from the anterior chamber of the eye to the sclera or space beneath the conjunctiva have been disclosed in, for example, U.S. Pat. No. 6,059,772 to Hsia et al., and No. 6,050,970 to Baerveldt.

30       [0017]       All of the above embodiments and variations thereof have numerous disadvantages and moderate success rates. They involve substantial trauma to the eye and require great surgical skill in creating a hole through the full thickness of the sclera into the subconjunctival space. The procedures are generally performed in an operating room and have a prolonged recovery time for vision.

35       [0018]       The complications of existing filtration surgery have prompted ophthalmic surgeons to find other approaches to lowering intraocular pressure.

[0019] The trabecular meshwork and juxtacanalicular tissue together provide the majority of resistance to the outflow of aqueous and, as such, are logical targets for surgical removal in the treatment of open-angle glaucoma. In addition, minimal amounts of tissue are altered and existing physiologic outflow pathways are utilized.

5 [0020] As reported in Arch. Ophthalmol. (2000) 118:412, glaucoma remains a leading cause of blindness, and filtration surgery remains an effective, important option in controlling the disease. However, modifying existing filtering surgery techniques in any profound way to increase their effectiveness appears to have reached a dead end. The article further states that the time has come to search for new surgical approaches that may provide better and safer care for patients with glaucoma.

10 [0021] Therefore, there is a great clinical need for the treatment of glaucoma by a method that is faster, safer, and less expensive than currently available modalities.

#### Summary of the Invention

[0022] Glaucoma surgical morbidity would greatly decrease if one were to bypass the focal resistance to outflow of aqueous only at the point of resistance, and to utilize remaining, healthy aqueous  
15 outflow mechanisms. This is in part because episcleral aqueous humor exerts a backpressure that prevents intraocular pressure from going too low, and one could thereby avoid hypotony. Thus, such a surgery would virtually eliminate the risk of hypotony-related maculopathy and choroidal hemorrhage. Furthermore, visual recovery would be very rapid, and the risk of infection would be very small, reflecting a reduction in incidence from 2-5% to about 0.05%.

20 [0023] Techniques performed in accordance with the aspects of the invention may be referred to generally as "trabecular bypass surgery." Advantages of this surgery include lowering intraocular pressure in a manner which is simple, effective, disease site-specific, and can potentially be performed on an outpatient basis.

[0024] Trabecular bypass surgery (TBS) creates an opening, a slit, or a hole through  
25 trabecular meshwork with minor microsurgery. TBS has the advantage of a much lower risk of choroidal hemorrhage and infection than prior techniques, and it uses existing physiologic outflow mechanisms. In some aspects, this surgery can potentially be performed under topical or local anesthesia on an outpatient basis with rapid visual recovery. To prevent "filling in" of the hole, a biocompatible elongated device is placed within the hole and serves as a stent. U.S. Patent Application No. 09/549,350, filed April 14, 2000, the entire  
30 contents of which are incorporated herein by reference, discloses trabecular bypass surgery.

[0025] In accordance with some embodiments, a trabecular shunt for transporting aqueous humor is provided. The trabecular shunt includes a hollow, elongate tubular element, having an inlet section and an outlet section. The outlet section includes two bifurcable segments or elements, adapted to be positioned and stabilized inside Schlemm's canal.

[0026] In one aspect, the trabecular shunt is placed inside a delivery apparatus. When the trabecular shunt is deployed from the delivery apparatus into the eye, the two bifurcatable elements of the outlet section bifurcate in substantially opposite directions. In one embodiment, a deployment mechanism within the delivery apparatus includes a push-pull type plunger.

5 [0027] In another aspect, a delivery applicator may be placed inside a lumen of the hollow, elongate tube of the trabecular shunt. The delivery applicator may include a deployment mechanism for causing the two bifurcatable elements of the outlet section to bifurcate. In some embodiments, the delivery applicator may be a guidewire, an expandable basket, an inflatable balloon, or the like.

[0028] In accordance with another feature, at least one of the two bifurcatable elements is  
10 made of a shape-memory material, such as Nitinol or a shape-memory plastic. The shape-memory material has a preshape and a shape-transition temperature, such that the shape-memory trabecular shunt bifurcates to its preshape when it is heated to above the shape-transition temperature. The preshape of the two bifurcatable elements material may be at an angle with respect to the inlet section, preferably between about 70 degrees and about 110 degrees. An external heat source may be provided, which is adapted for heating  
15 the shape-memory material to above the shape-transition temperature of the shape-memory material.

[0029] In some aspects, the trabecular shunt may be made of one or more of the following materials: polyvinyl alcohol, polyvinyl pyrrolidone, collagen, heparinized collagen, polytetrafluoroethylene, expanded polytetrafluoroethylene, fluorinated polymer, fluorinated elastomer, flexible fused silica, polyolefin, polyester, polyimide, polysilicon, silicone, polyurethane, Nylon™, polypropylene, hydroxyapatite, precious  
20 metal, Nitinol, stainless steel, biodegradable materials, and biocompatible materials. Further, the outlet section of the trabecular shunt may be configured as a coil, mesh, spiral, or other appropriate configuration as will apparent to those of skill in the art. Further, the outlet section of the trabecular shunt may be porous, semi-permeable, fishbone, and/or of a continuous, solid form. The outlet section of the trabecular shunt may have a cross-sectional shape that is elliptical (e.g., oval), round, circular, D-shape, semi-circular, or irregular  
25 (asymmetrical) shape.

[0030] In one embodiment, at least one of the two bifurcatable elements has a tapered distal end, adapted for insertion ease. The trabecular shunt may have its surface coated with a coating material selected from one or more of the following: polytetrafluoroethylene (e.g., Teflon™), polyimide, hydrogel, heparin, hydrophilic compound, anti-angiogenic factor, anti-proliferative factor, therapeutic drugs, and the like.  
30 The surface coating material may also provide a mechanism for site-specific therapies.

[0031] In one embodiment, the device may include a flow-restricting member for restricting at least one component in fluid. The flow-restricting member may be a filter comprising one or more filtration materials selected from the following: expanded polytetrafluoroethylene, cellulose, ceramic, glass, Nylon, plastic, fluorinated material, or the like. The flow-restricting member may advantageously be a filter selected  
35 from the following group of filter types: hydrophobic, hydrophilic, membrane, microporous, and non-woven.

The flow-restricting member acts to limit or prevent the reflux of any undesired component or contaminant of blood, such as red blood cells or serum protein, from the aqueous veins into the anterior chamber. It is useful to restrict one or more of the following components or contaminants: platelets, red blood cells, white blood cells, viruses, bacteria, antigens, and toxins.

5 [0032] The trabecular shunt may include a pressure sensor for measuring the pressure of the anterior chamber of an eye of a patient. The pressure sensor may further include an electromagnetic (e.g., radiofrequency) transmitter, for wirelessly transmitting pressure measurements to a pressure receiver outside the patient's body.

10 [0033] Among the advantages of trabecular bypass surgery is its simplicity. The microsurgery may potentially be performed on an outpatient basis with rapid visual recovery and greatly decreased morbidity. There is a lower risk of infection and choroidal hemorrhage, and there is a faster recovery, than with previous techniques.

15 [0034] Further features and advantages of the invention will become apparent to one of skill in the art in view of the Detailed Description that follows, when considered together with the attached drawings and claims.

#### Brief Description of the Drawings

[0035] FIG. 1 is a sagittal sectional view of an eye.  
 [0036] FIG. 2 is a cross-sectional view of the anterior chamber of an eye.  
 [0037] FIG. 3A is an side elevational view of a glaucoma device.  
 20 [0038] FIG. 3B is an end cross-sectional view through plane 1-1 of FIG. 3A.  
 [0039] FIG. 4A illustrates the trabecular shunt of FIG. 3A at a semi-deployment state.  
 [0040] FIG. 4B is an end cross-sectional view of section 2-2 of FIG. 4A.  
 [0041] FIG. 5A illustrates the trabecular shunt of FIG. 3A in a deployed state.  
 [0042] FIG. 5B is an end cross-sectional view of the trabecular shunt, section 3-3 of FIG. 5A.  
 25 [0043] FIG. 5C is an end cross-sectional view of a bifurcatable segment, section 4-4 of FIG. 5A.  
 [0044] FIG. 6 is a side cross-sectional view of the trabecular shunt.  
 [0045] FIG. 7A is a side cross-sectional view of an alternate embodiment of the trabecular shunt.  
 30 [0046] FIG. 7B is a side cross-sectional view of the trabecular shunt of FIG. 7A in a partially deployed state.  
 [0047] FIG. 8 is a perspective view of the trabecular shunt placed inside Schlemm's canal.

#### Detailed Description Of Exemplary Embodiments

35 [0048] FIGS. 1 to 8 illustrate an apparatus for the treatment of glaucoma by trabecular bypass surgery.

[0049] FIG. 1 is a sagittal sectional view of an eye 10, while FIG. 2 is a close-up view, showing the relative anatomical locations of trabecular meshwork 21, the anterior chamber 20, and Schlemm's canal 22. Thick collagenous tissue known as sclera 11 covers the entire eye 10 except that portion covered by the cornea 12. The cornea 12 is a thin transparent tissue that focuses and transmits light into the eye and through the pupil 14, which is the circular hole in the center of the iris 13 (colored portion of the eye). The cornea 12 merges into the sclera 11 at a juncture referred to as the limbus 15. The ciliary body 16 extends along the interior of the sclera 11 and is coextensive with the choroid 17. The choroid 17 is a vascular layer of the eye 10, located between the sclera 11 and retina 18. The optic nerve 19 transmits visual information to the brain and is the anatomic structure that is progressively destroyed by glaucoma.

[0050] The anterior chamber 20 of the eye 10, which is bound anteriorly by the cornea 12 and posteriorly by the iris 13 and lens 26, is filled with aqueous humor ("aqueous"). Aqueous is produced primarily by the ciliary body 16, then moves anteriorly through the pupil 14 and reaches the anterior chamber angle 25, formed between the iris 13 and the cornea 12. In a normal eye, the aqueous is removed from the anterior chamber 20 through the trabecular meshwork 21. Aqueous passes through trabecular meshwork 21 into Schlemm's canal 22 and thereafter through the aqueous veins 23, which merge with blood-carrying veins and into the systemic venous circulation. Intraocular pressure is maintained by the intricate balance between secretion and outflow of the aqueous in the manner described above. Glaucoma is, in most cases, characterized by the excessive buildup of aqueous humor in the anterior chamber 20, which leads to an increase in intraocular pressure. Fluids are relatively incompressible, and pressure is directed relatively equally throughout the eye.

[0051] As shown in FIG. 2, the trabecular meshwork 21 is adjacent a small portion of the sclera 11. Traditional procedures that create a hole or opening for implanting a device through the tissues of the conjunctiva 24 and sclera 11 involve extensive surgery, as compared to surgery for implanting a device which ultimately resides entirely within the confines of the sclera 11 and cornea 12, as is performed in accordance with one aspect. A device 31 for establishing an outflow pathway, positioned through the trabecular meshwork 21, is illustrated in FIG. 8.

[0052] In one embodiment, a method of placing a trabecular shunt into an opening through trabecular meshwork, the method comprises advancing and positioning a trabecular shunt having two distal bifurcatable elements through the opening. In a further embodiment, a method of placing a trabecular shunt into an opening through diseased trabecular meshwork for transporting aqueous humor at the level of the trabecular meshwork and using an existing outflow pathway, the method comprises advancing and positioning a trabecular shunt having a pressure sensor for measuring the pressure of the anterior chamber of the eye through the opening. In one embodiment, the method may further comprise transmitting the measured pressure to a pressure receiver outside the body of the patient.



[0053] FIG. 3A shows an embodiment of the trabecular shunt 31. The trabecular shunt may comprise a biocompatible material, such as medical grade silicone, trade name Silastic®, available from Dow Corning Corporation of Midland, Michigan; or polyurethane, trade name Pellethane®, also available from Dow Corning Corporation. In some embodiments, other biocompatible materials (biomaterials) may be used, such as polyvinyl alcohol, polyvinyl pyrrolidone, collagen, heparinized collagen, polytetrafluoroethylene, expanded polytetrafluoroethylene, fluorinated polymer, fluorinated elastomer, flexible fused silica, polyolefin, polyester, polyimide, polysilicon, silicone, polyurethane, Nylon, polypropylene, hydroxyapatite, precious metal, Nitinol, stainless steel, or any mixture of these or other biocompatible materials. In a further embodiment, the trabecular shunt may comprise a composite biocompatible material, with a surface made of one or more of the above-mentioned biomaterials, and the surface is coated by a material selected from Teflon, polyimide, hydrogel, heparin, hydrophilic compound, anti-angiogenic factor, anti-proliferative factor, therapeutic drugs, and the like. Suitable anti-angiogenic or anti-proliferative factors may be selected from, for example, protamine, heparin, steroids, anti-invasive factor, retinoic acids and derivatives thereof, and paclitaxel or its analogues or derivatives thereof.

[0054] The main purpose of the trabecular shunt is for transporting aqueous humor at the level of the trabecular meshwork and partially using existing the outflow pathway for aqueous humor, i.e., utilizing the entire outflow pathway except for the trabecular meshwork, which is bypassed by the trabecular shunt 31. In this manner, aqueous humor is transported into Schlemm's canal and subsequently into the aqueous collectors and the aqueous veins so that the intraocular pressure is properly maintained within a therapeutic range.

[0055] In one embodiment, the trabecular shunt 31 comprises a hollow, elongated tubular element having an inlet section 32 and an outlet section 33, wherein the outlet section 33 may comprise two bifurcatable elements 34, 35 that are adapted to be bifurcated, positioned, and stabilized inside Schlemm's canal. The hollow elongated tubular element may comprise at least one lumen 36 for transporting aqueous from the anterior chamber 20 of an eye to the Schlemm's canal 22. A "bifurcatable" segment is defined as a segment, or components thereof, that can change direction away or evert from a reference axis. The "bifurcating" operation may be achieved by mechanical forces and/or through the shape-memory property of a material.

[0056] For stabilization purposes, the outer surface of the outlet section 33 may comprise a stubbed surface, ribbed surface, surface with pillars, textured surface, or the like. The outer surface of the trabecular shunt 31 is biocompatible and tissue-compatible so that the interaction between the outer surface of the shunt and the surrounding tissue of Schlemm's canal is minimal, and inflammation is reduced. FIG. 3B shows an end cross-sectional view of section 1-1 of FIG. 3A. Each bifurcatable segment 34, 35 has its own end configuration. At least one of the two bifurcatable elements has a tapered distal end adapted for insertion ease. The two bifurcatable elements 34, 35 are secured to the inlet section 32 at a joint 37. In an alternate

embodiment, at least a slit 38, or scalloping, within the two bifurcatable elements 34, 35 may be located near the joint 37 for stress release when the two bifurcatable elements are bifurcated in two substantially opposite directions. Other stress-releasing mechanisms may also be utilized so as to make the bifurcation operation of the bifurcatable elements safe and effective. The outlet section 33 of the trabecular shunt 31 may possess a cross-sectional shape selected from the following: oval shape, round shape, circular shape, D-shape, semi-circular shape, irregular shape, or random shape.

[0057] In another preferred embodiment, the trabecular shunt 31 may comprise a flow-restricting element for restricting at least one component in fluid, wherein the flow-restricting element may be a filter selected from a group of filtration materials comprising expanded polytetrafluoroethylene, cellulose, ceramic, glass, Nylon, plastic, and fluorinated material. Furthermore, the flow-restricting element may be a filter selected from a group of filter types comprising a hydrophobic filter, hydrophilic filter, membrane filter, microporous filter, non-woven filter, and the like. Components in blood that may be restricted by the flow-restricting element can include the following: platelet, red blood cell, white blood cell, virus, antigen, serum protein, and toxin. The flow-restricting element may also be in the form of, for example, a check valve, a slit valve, a micropump, a semi-permeable membrane, and the like. The purpose of the flow-restricting element is to keep an undesired foreign material from back flowing into the anterior chamber.

[0058] FIG. 4A shows the trabecular shunt of FIG. 3A in a semi-deployed state, while FIG. 4B shows an end cross-sectional view of section 2-2 of FIG. 4A. In one embodiment for shunt delivery, the trabecular shunt 31 is placed inside a hollow delivery apparatus 45. A delivery apparatus 45 comprises a distal end 47, wherein the two bifurcatable elements 34, 35 of the outlet section are self-bifurcatable in substantially two opposite directions when the trabecular shunt 31 is deployed out of the delivery apparatus 45. The slit 38 at the two bifurcatable elements 34, 35 comprises the separating regions 43A and 43B. The delivery apparatus 45 may comprise a deployment mechanism for deploying the trabecular shunt out of the delivery apparatus. In one embodiment, the deployment mechanism is a plunger. The delivery mechanism may be located at the handle of the delivery apparatus for deploying the trabecular shunt.

[0059] FIG. 5A shows the trabecular shunt of FIG. 3A at a deployed state. As the plunger is continuously pushed ahead, and the distal end 47 of the delivery apparatus 45 retreats, the two bifurcatable elements 34, 35 continue to deploy in two substantially opposite directions. This may be accomplished by precontracting the two bifurcatable elements within the delivery apparatus before the delivery state. When the distal end of the delivery apparatus withdraws beyond the joint point 37 located between the inlet section 32 and the outlet section, the two bifurcatable elements are fully deployed with their separating regions 43A, 43B apart from each other. The outlet section of the preferred trabecular shunts may be made of a material form selected from a group comprising coil form, mesh form, spiral form, porous form, semi-permeable form, fishbone form (i.e., having interlocking splines and/or fenestrations in a side wall, as illustrated in FIG. 7A),

continuous solid form, or may be made from any form that is effective and appropriate to evert the bifurcatable elements to be at one or more angles with respect to a reference axis of the inlet section.

[0060] FIG. 5B shows an end cross-sectional view of the trabecular shunt, section 3-3 of FIG. 5A, while FIG. 5C shows an end cross-sectional view of a bifurcatable segment, section 4-4 of FIG. 5A. The original outer contour of the trabecular shunt 31 is illustrated by a dashed line 49 in FIG. 5B. The lumen 36 of the hollow elongated tubular element is for aqueous to flow through the trabecular shunt. The shape of the end cross-section 35 is to provide a stenting capability when the elements are placed inside Schlemm's canal. The semicircular end cross-section of the bifurcatable elements 34, 35 allows aqueous to freely flow into aqueous collector channels in the external wall of Schlemm's canal.

[0061] FIG. 6 shows another preferred embodiment of the trabecular shunt. A delivery applicator 52 may be placed inside a lumen of the hollow elongated tubular element, wherein the delivery applicator comprises a deployment mechanism for causing the two bifurcatable elements 34, 35 of the outlet section to move part from each other. The delivery applicator may be selected from a group consisting of a guidewire, an expandable basket, an inflatable balloon, or other expanding mechanism. In one embodiment, a delivery applicator 52 with an expandable basket comprises a plurality of expandable members 54A, 54B, 54C, 54D that all securely joined at a proximal joint 55A and at a distal joint point 55B. A distal end of a push-pull type wire 51 is also joined at the distal joint point 55B. The proximal joint 55A is located at the distal end of a compact guidewire 53 of the delivery applicator. Therefore, by pulling the push-pull wire 51 of the delivery applicator toward the operator, each of the expandable members 54A, 54B, 54C, 54D expand radially outwardly so as to effect the outward pushing action for the bifurcatable elements 34, 35.

[0062] U.S. Pat. No. 6,077,298 and U.S. Patent Application Ser. No. 09/452,963, filed December 2, 1999, both of which are incorporated herein by reference in their entirety, disclose a medical device made of shape-memory Nitinol having a shape-transition temperature. In a further embodiment, a trabecular shunt comprises a hollow elongated tubular element having an inlet section and an outlet section, wherein the outlet section comprises two bifurcatable elements adapted to be positioned and stabilized inside Schlemm's canal. At least one of the two bifurcatable elements may be made of a shape-memory material such as shape-memory Nitinol or shape-memory plastic material. In a preferred embodiment, the shape-memory Nitinol has a preshape and a shape-transition temperature, wherein the shape-memory Nitinol bifurcates to its preshape when the shape-memory Nitinol is heated to above the shape-transition temperature, the preshape of the shape-memory Nitinol being at an angle with respect to the inlet section.

[0063] The shape-transition temperature for the shape-memory Nitinol is preferably between about 35°C and about 90°C. The shape-transition temperature is more preferred between about 35°C and 45°C so as to minimize tissue damage. The angle is preferably between about 70 degrees and about 110 degrees so as to conform to the contour of Schlemm's canal. An external heat source may be provided and adapted for heating the shape-memory Nitinol to above the shape-transition temperature of the shape-

memory Nitinol. Examples of such external heat sources include a heating pad, a warm cloth, a bag of warm water, remotely deliverable heat, electromagnetic field, and the like. In another embodiment, the shape-memory Nitinol may be embedded within a biocompatible material selected from, for example, silicone, polyurethane, porous material, expanded polytetrafluoroethylene, semi-permeable membrane, elastomer, and mixture of the biocompatible material thereof. In general, the bifurcatable elements are relatively flexible and soft so that they do not impart undesired force or pressure onto the surrounding tissue during and after the deployment state.

[0064] For illustration purposes, a fishbone-type outlet section is shown to render the bifurcatable elements flexible and soft during the deployment state. FIG. 7A shows an embodiment of the trabecular shunt. The trabecular shunt comprises a plurality of fishbones and their intermediate spacing, such as the fishbones 61A, 61B with a spacing 62A and the fishbones 61C, 61D with a spacing 62B. A delivery apparatus 45 may be used to deliver the self-bifurcatable elements 34, 35 having fishbones configuration.

[0065] FIG. 7B shows the trabecular shunt of FIG. 7A in a semi-deployed state. As the distal end 47 of the delivery apparatus 45 is pulled away from the distal end 39 of the shunt 31, the self-bifurcatable elements 34, 35 tend to deploy to two opposite directions. In the meantime, the spacing 62B between the two fishbones 61C and 61D starts to expand and enlarge so that minimal stress is exerted on the deployed bifurcated portion of the bifurcatable elements 34, 35.

[0066] The trabecular shunt may have a length between about 0.5 mm to over a few millimeters. The outside diameter of the trabecular shunt may range from about 30 (m to about 500 (m or more. The lumen diameter is preferably in the range of about 20 (m to about 150 (m, or larger. The trabecular shunt may have a plurality of lumens to facilitate multiple-channel flow. The outlet section may be curved or angled at an angle between about 30 degrees to about 150 degrees, and preferably at about 70 degrees to about 110 degrees, with reference to the inlet section 32.

[0067] FIG. 8 is a perspective view illustrating the device 31 positioned within the tissue of an eye 10. A hole or opening is created through the diseased trabecular meshwork 21. The outlet section of the device 31 is inserted into the hole, wherein the inlet section is exposed to the anterior chamber 20 while the outlet section is positioned at about an exterior surface 3 of the diseased trabecular meshwork 21. In a further embodiment, the outlet section may enter into Schlemm's canal or other existing outflow pathways. A device as shown in FIG. 3 may be successfully used to maintain the opening through diseased trabecular meshwork.

[0068] In one embodiment, means for forming a hole/opening in the trabecular mesh 21 may comprise using a microknife, a pointed guidewire, a sharpened applicator, a screw shaped applicator, an irrigating applicator, or a barbed applicator. Alternatively, the trabecular meshwork may be dissected off with an instrument similar to a retinal pick or microcurette. The opening may alternately be created by retrograde fiberoptic laser ablation.

[0069] In a preferred embodiment of the trabecular meshwork surgery, the patient is placed in the supine position, prepped, draped and anesthesia obtained. In one embodiment, a small (generally less than 1-mm) self-sealing incision is made. Through the cornea opposite the shunt placement site, an incision is made in the trabecular meshwork with an irrigating knife. The shunt is then advanced through the corneal incision across the anterior chamber held in a delivery apparatus or delivery applicator under gonioscopic (lens) or endoscopic guidance. The apparatus or applicator is withdrawn from the patient and the surgery is concluded. The delivery apparatus or applicator may be within a size range of 20 to 40 gauge, and preferably about 30 gauge.

[0070] In a further embodiment, a method for increasing aqueous humor outflow in an eye of a patient to reduce intraocular pressure therein, the method comprises: (a) creating an opening in trabecular meshwork using a knife or laser, etc; (b) inserting a trabecular shunt into the opening, such as by using a delivery device, wherein the trabecular shunt comprises a hollow elongated tubular element having an inlet section and an outlet section, and wherein the outlet section comprises two bifurcatable elements adapted to be positioned and stabilized inside Schlemm's canal; and (c) bifurcating the two bifurcatable elements to two opposing directions.

[0071] The method may further comprise placing the trabecular shunt inside a delivery apparatus, wherein the two bifurcatable elements are self-bifurcatable in two opposing directions when the trabecular shunt is deployed from the delivery apparatus. The method may further comprise placing a delivery applicator inside a lumen of a hollow elongated tubular element, wherein the delivery applicator comprises a deployment mechanism for causing the two bifurcatable elements to move in two opposing directions.

[0072] The method may further comprise measuring and transmitting pressure of the anterior chamber of an eye, wherein the trabecular shunt comprises a pressure sensor for measuring and transmitting pressure. The means for measuring and transmitting pressure of an anterior chamber of an eye to an external receiver may be incorporated within a device that is placed inside the anterior chamber for sensing and transmitting the intraocular pressure. Any suitable micro pressure sensor or pressure sensor chip known to those of skill in the art may be utilized.

[0073] From the foregoing description, it should be appreciated that a novel device and methods for the surgical treatment of glaucoma have been disclosed for reducing intraocular pressure. While the invention has been described with reference to specific embodiments, the description is illustrative and is not to be construed as limiting the invention. Various modifications and applications of the invention may occur to those who are skilled in the art, without departing from the true spirit or scope of the invention. The breadth and scope of the invention should be defined only in accordance with the appended claims and their equivalents.

WHAT IS CLAIMED IS:

1. A trabecular shunt for transporting aqueous humor in an eye, comprising:  
an elongate tubular element having an inlet section and an outlet section;  
wherein the outlet section comprises two bifurcatable elements, the bifurcatable elements configured to be  
5 positioned within a Schlemm's canal of the eye.
2. The shunt of Claim 1, wherein the tubular element is hollow.
3. The shunt of Claim 1, wherein said shunt is configured to attach to a delivery apparatus.
4. The shunt of Claim 1, wherein the a distal end of a first of the two bifurcatable elements is adapted to move away from a distal end of a second of the two bifurcatable elements.
- 10 5. The delivery apparatus of Claim 3, wherein said delivery apparatus comprises a deployment mechanism for releasing the shunt from the delivery apparatus.
6. The delivery apparatus of Claim 4, wherein the deployment mechanism comprises a plunger.
7. The shunt of Claim 1, wherein said two bifurcatable elements are attached to said inlet  
15 section at a joint.
8. The shunt of Claim 7, further comprising a slit in at least one of the bifurcatable elements near the joint.
9. The shunt of Claim 1, comprising material selected from the group consisting of polyvinyl alcohol, polyvinyl pyrrolidone, collagen, heparinized collagen, polytetrafluoroethylene, expanded  
20 polytetrafluoroethylene, fluorinated polymer, fluorinated elastomer, flexible fused silica, polyolefin, polyester, polyimide, polysilicon, silicone, polyurethane, Nylon, polypropylene, hydroxyapatite, precious metal, Nitinol, and stainless steel.
10. The shunt of Claim 1, comprising biocompatible material.
11. The shunt of Claim 1, wherein the outlet section comprises a form selected from the group  
25 consisting of a coil, a mesh, a spiral, a fishbone, a porous form, a semi-permeable form, and a continuous solid form.
12. The shunt of Claim 1, wherein the outlet section comprises a cross-sectional shape selected from the group consisting of an ellipse, a circle, a semi-circle, and an asymmetric shape.
13. The shunt of Claim 12, wherein the asymmetric shape comprises a D-shape.
- 30 14. A delivery applicator, a portion of said delivery applicator configured to be placed within a lumen of the elongate tubular element of Claim 1, wherein the delivery applicator comprises a mechanism for permitting the two bifurcatable elements of said outlet section to move away from each other.
15. A delivery applicator according to claim 14, wherein the delivery applicator is selected from the group consisting of a guidewire, an expandable basket, and an inflatable balloon.

16. The shunt of Claim 1, wherein at least one of said two bifurcatable elements comprises a shape-memory material, the shape-memory material having a preshape and a shape-transition temperature, wherein the shape-memory material conforms to its preshape when the shape-memory material is heated to above the shape-transition temperature.
- 5 17. The shunt of Claim 16, wherein a longitudinal axis of the preshape of the shape-memory material is at an angle with respect to a longitudinal axis of the inlet section.
18. The shunt of Claim 17, wherein the angle is between about 70 degrees and about 110 degrees.
19. The shunt of Claim 16, wherein said shape-memory material comprises Nitinol.
- 10 20. The shunt of Claim 16, wherein the shape-transition temperature of said shape-memory material is between about 39°C and about 90°C.
21. The shunt of Claim 16, further comprising an external heat source that is adapted to heat the shape-memory material to above the shape-transition temperature.
22. The shunt of Claim 16, wherein said shape-memory material further comprises a biocompatible material selected from the group consisting of silicone, polyurethane, and expanded polytetrafluoroethylene.
- 15 23. The shunt of Claim 16, wherein said shape-memory material further comprises a material selected from the group consisting of a porous material and an elastomer.
24. The shunt of Claim 16, wherein at least one of said two bifurcatable elements further comprises a semi-permeable membrane.
- 20 25. The shunt of Claim 1, wherein at least one of the two bifurcatable elements has a tapered distal end.
26. The shunt of Claim 1, wherein said trabecular shunt comprises a surface coating comprising a material selected from the group consisting of polytetrafluoroethylene, polyimide, hydrogel, heparin, an anti-angiogenic factor, an anti-proliferative factor, and a therapeutic drug.
- 25 27. The shunt of Claim 1, wherein said trabecular shunt comprises a surface coating comprising a hydrophilic material.
28. The shunt of Claim 1, wherein said inlet section further comprises a flow-restricting element for restricting at least one component in blood, wherein said flow-restricting element is a filter comprising a material selected from the group consisting of expanded polytetrafluoroethylene, cellulose, ceramic, glass, Nylon, plastic, and fluorinated hydrocarbon.
- 30 29. The shunt of Claim 1, wherein said inlet section further comprises a flow-restricting element for restricting at least one component in blood, wherein said flow-restricting element is a filter selected from the group of filter types consisting of hydrophobic filter, hydrophilic filter, membrane filter, microporous filter, and nonwoven filter.
- 35

30. The shunt of claim 29, wherein one of said at least one component is selected from the group consisting of platelets, red blood cells, white blood cells, a virus, a bacterium, an antigen, a serum protein, and a toxin.

- 5 31. The shunt of Claim 1, wherein said inlet section further comprises a pressure sensor for measuring an intraocular pressure of said eye.

32. The shunt of Claim 31, wherein the pressure sensor further comprises a transmitter for wirelessly transmitting said intraocular pressure to a receiver located outside said eye.

33. The shunt of Claim 31, wherein the transmitter transmits radiofrequency signals.



1/10

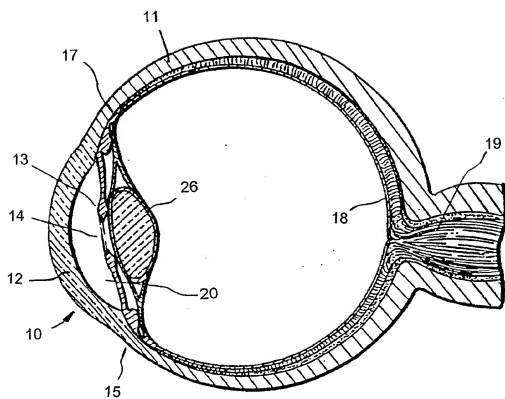


FIG. 1

2/10

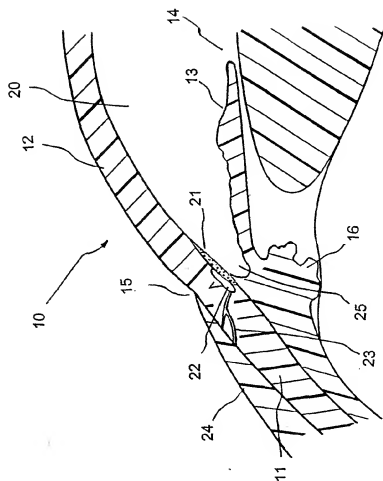
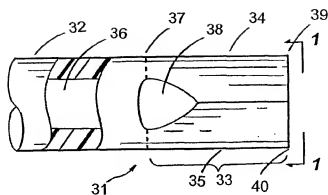
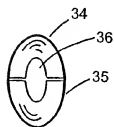


FIG. 2

3/10

**FIG. 3A****FIG. 3B**

4/10

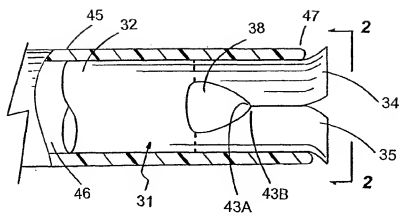


FIG. 4A

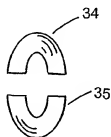


FIG. 4B

5/10

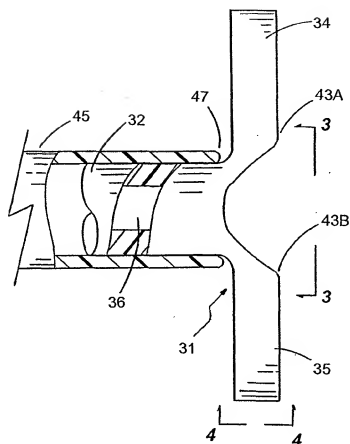
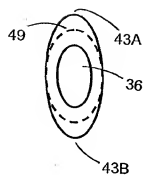


FIG. 5A

6/10

**FIG. 5B****FIG. 5C**

7/10

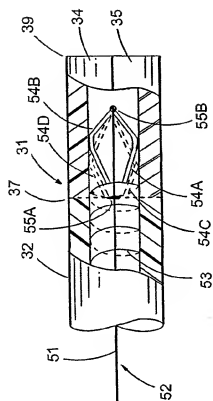


FIG. 6

8/10

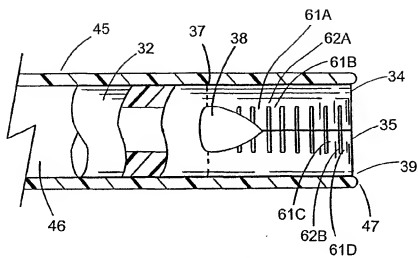
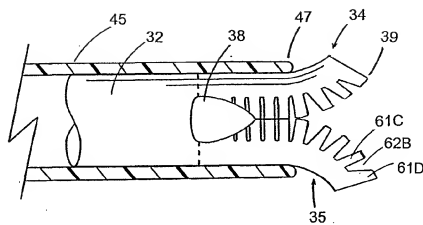


FIG. 7A



9/10

**FIG. 7B**

10/10

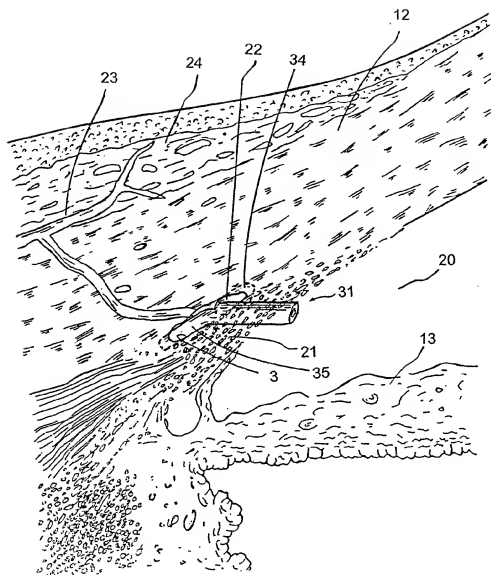


FIG. 8